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| Substitute for form PTO-1449  |  | Complete if Known    |  |
|   |  | Application Number   | 10/770,708   |
|   |  | Filing Date:         | February 3, 2004                                       |
|   |  | First Named Inventor | Christian GARTNER et al                                |
|   |  | Art Unit             | 37332  |
|   |  | Examiner Name:       | To Be Assigned   |
| O I P E<br>INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT'S<br>NOV 28 2005<br>PATENT AND TRADEMARK OFFICE<br>SHEA/ABP/AM/MS |  | of 1                 | Attorney Docket Number:<br>100727-63 / Heraeus 414 KGB |

**U.S. PATENT DOCUMENTS**

| Examiner's Initials* | Cite No. <sup>1</sup> | Document Number               | Publication Date<br>MM-DD-YYY | Name of Patentee or Applicant<br>of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|----------------------|-----------------------|-------------------------------|-------------------------------|--|---|
|                      |                       | Number-Kind Code <sup>2</sup> |                               |  |   |
|                      | A                     | US -                          |                               |  |   |
|                      | B                     | US -                          |                               |  |   |
|                      | C                     | US -                          |                               |  |   |
|                      | D                     | US -                          |                               |  |   |
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|                      | F                     | US -                          |                               |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner's Initials* | Cite No. | Foreign Patent Document  | Publication Date<br>MM-DD-YYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|----------------------|----------|--|-------------------------------|--|---|----------------|
|                      |          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> |                               |  |   |                |
| J SW                 | G        | DE 19532 171 A1  | 02-13-1997                    | Amann Dietmar et al                                |   |                |
|                      | H        |  |                               |  |   |                |
|                      | I        |  |                               |  |   |                |
|                      | J        |  |                               |  |   |                |
|                      | K        |  |                               |  |   |                |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner's Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city<br>and/or country where published. | T <sup>6</sup> |
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| EXAMINER SIGNATURE: <i>Jonathan Weimer</i>  | DATE CONSIDERED: <i>12/15/05</i> |
| <p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>see Kinds Codes of USPTO Patent Documents at <a href="http://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>for Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.</p> <p>The collection of information is required by 37 CFR 1.197 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p>If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.</p> |                                  |

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| <b>LIST OF PATENTS AND PUBLICATIONS<br/>APPLICANT'S INFORMATION<br/>DISCLOSURE STATEMENT<br/>(Form PTO-1449)</b> |  | Attorney Docket: 100727-63 /<br>Heraeus 414-KGB | Serial No.: To Be Assigned |
|  |  | Applicant: Christian GÄRTNER et al.             | Examiner: To Be Assigned   |
|  |  | Filing Date: Herewith                           | Group: To Be Assigned      |

**U.S. PATENT DOCUMENTS**

| Examiner's Initial |    | Document Number | Date       | Name          | Class | Sub Class | Filing Date If appropriate |
|--------------------|----|-----------------|------------|---------------|-------|-----------|----------------------------|
| JSW                | AA | 6,322,359 B1    | 11-27-2001 | Jordan et al. | 433   | 73        | 11-3-00                    |
| ✓                  | AB | 6,152,731       | 11-28-2000 | Jordan et al. | 433   | 69        | 9-22-97                    |
|                    | AC |                 |            |               |       |           |                            |
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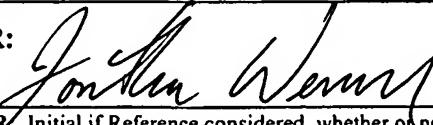
**FOREIGN PATENT DOCUMENTS**

|     |    | Document Number  | Date     | Country | Class | Sub Class | Translation Yes | No |
|-----|----|------------------|----------|---------|-------|-----------|-----------------|----|
| JSW | AG | DE 198 28 003 A1 | 13.1.00  | Germany |       |           |                 |    |
| ✓   | AH | DE 101 14 290 A1 | 2.10.02  | Germany |       |           |                 |    |
|     | AI | DE 101 11 704 A1 | 2.10.02  | Germany |       |           |                 |    |
|     | AJ | DE 101 07 451 A1 | 12.9.02  | Germany |       |           |                 |    |
|     | AK | DE 198 38 238 A1 | 2.3.00   | Germany |       |           |                 |    |
| ✓   | AL | EP 0 904 743 A2  | 31.03.99 | Europe  |       |           |                 |    |
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**OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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